

Regulating nanotechnologies: overview and prospects




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The regulatory contract


- ...what is **known** about hazards
- ...social attitudes to **risks and uncertainties**

Emerging technologies and uncertainty

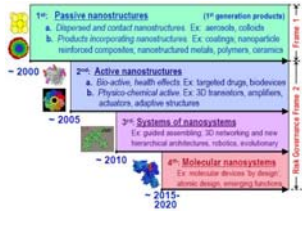
- Hans Jonas
 - Technology and the power of present people over the lives of future people
- David Collingridge's "control dilemma"
 - An **informational** problem, plus:
 - A **power** problem
- How to write the "regulatory contract" in these circumstances?
 - Based on precaution, transparency and **corrigibility**

Nanotechnology's contested futures

Drexlerian advanced mechanosynthesis
(image from Nanorex, http://www.nanoengineer-1.com)



Mihail Roco's Four Generation schema¹



1. Roco, M. C. and Bainbridge, W. S. 2005. Societal implications of nanoscience and nanotechnology: Maximizing human benefit. *Journal of Nanoparticle Research* 7(1), pp. 1-13.

Uncertainties in the present

- Royal Society and Royal Academy of Engineering report *Nanoscience and nanotechnologies: opportunities and uncertainties* (2004)
- Questions of equivalence
 - Physico-chemical characteristics
 - Possibility of complex interactions with environment throughout material/product life-cycle
- Problems of diversity and complexity
 - Huge numbers of nanomaterials
 - Bound and free forms
 - Easy to vary physico-chemical characteristics of materials by altering production parameters
 - Lifecycle exposure issues

Regulatory options


Are nanotechnology applications fully captured by existing regulations?

YES → **BAU** (rely on e.g. TSCA in US, REACH in EU...)

NO → **Nanspecific legislation**

Nanspecific legislation branches into:

- Moratoria
- ETC Group in 2003
- RS/RAEng report
- Blanket precautionary approach
- Case by case adaptive approach (recommended by RCEP 2008)



Adaptive regulation: issues facing regulators

BRAS

- Diversity of products → focus on chemicals
- Key challenges
 1. Characterisation of **physico-chemical properties**
 2. Regulatory **gaps** (e.g. thresholds)¹
 3. Towards a **lifecycle** basis for risk assessment

¹ Frater, L. et al. 2006. *An overview of the framework of current regulation affecting the development and marketing of nanomaterials*. Cardiff: BRAS.

An example: REACH

BRAS

- Purpose: **central register** for all chemicals in commercial use in EU
- **Life-cycle based** assessment
- Devolve responsibility to **producers/ downstream users**
- What data is required and when depends on
 1. **Volume** of substance
 2. Intrinsic **harmfulness** (e.g. SHVCs)

Problems

1. Coverage (definitions and thresholds)¹
2. Equivalence and testing^{1,2}

1. Permit, control, or ban
2. **No data, no market**

¹ Lee, R. G. and Vaughan, S. 2010. REACHing down: nanomaterials and chemical safety in the European union. *Law, Innovation and Technology* 2(2), pp. 193-217.

² Franco, A. et al. 2007. Limits and prospects of the "incremental approach" and the European legislation on the management of risks related to nanomaterials. *Regulatory Toxicology and Pharmacology* 48(2), pp. 171-183.

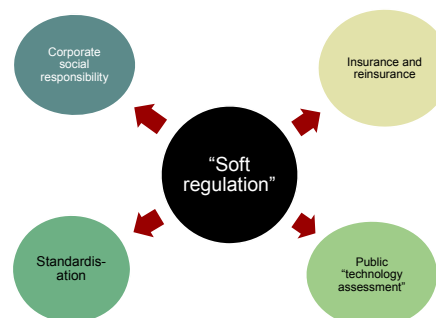
Other EU regulations: "nanoproducts"

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- Biocidal products directive (98/8/EC)
 - Ongoing discussions
 - Nano-relevant amendments may be made on basis of "the latest scientific information"
- Novel foods directive (EC/258/97)
 - May introduce labelling requirements
- Cosmetics regulation (EC/1223/2009)
 - Coming into force from next year
 - By 11 January 2014: publicly accessible catalogue of nanomaterials in cosmetic products
 - Labelling provisions: "nano" for engineered nanoingredients

Beyond "hard law"

BRAS



www.brass.cf.ac.uk/Nanotechnologies.html

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